



## UNIVERSITY OF CALCUTTA

### ACADEMIC DEPARTMENT: COMMERCE

#### FACULTY ACADEMIC PROFILE/ CV

1. **Full name of the faculty member :**

Prof. (Dr.) TANUPA CHAKRABORTY

2. **Designation :**

Professor  
Department of Commerce  
University of Calcutta

3. **Specialization :**

Finance and Accounting

4. **Contact information :**

23 Central Road  
Jadavpur, Flat No. – 12  
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tanupachakraborty@gmail.com



5. **Academic qualifications :**

College/ university from which the degree was obtained	Abbreviation of the degree
The Bhawanipur Education Society College, Kolkata (under Calcutta University)	B.Com.
University of Calcutta	M.Com.
University of Calcutta	M.Phil.
University of Calcutta	Ph.D.

6. **Professional qualifications :**

Company Secretaryship (CS); UGC NET-JRF

**7. Positions held/ holding :**

**Teaching**

- Part Time Visiting Faculty (PTVF) for ‘Corporate Financial Reporting and Analysis (CFRA)’ at Indian Institute of Management Calcutta (IIMC), Joka [Academic Year – 2017-2018]

**Other Academic**

- Associate Editor, ‘Business Studies’, Journal of Department of Commerce, University of Calcutta [2010-2017]
- Associate Editor, ‘Indian Accounting Review’, Journal of Indian Accounting Association Research Foundation [June 2018 onwards]
- Member, Editorial Advisory Board, ‘Manthan - Journal of Commerce and Management’, Journal of Journal Press India, New Delhi [2013 onwards]

**Administrative**

- Treasurer, Commerce Alumni Association, University of Calcutta [2011-2015]
- Evening In - charge, Department of Commerce, University of Calcutta [2015-2016 to 2016-2017]
- Director, University of Calcutta-Calcutta Stock Exchange Centre of Excellence in Financial Market (CUCSE-CEFM) [July 2017-March 2018]

**8. Research interests :**

*The areas of research interests are :*

- Risk Management
- Derivatives
- Capital Market
- Management of Banking & Financial Services

Ph.D. Dissertation : “A Study of Buyback of Shares in India: 1998 – ’99 to 2000 – 2001”

M.Phil. Dissertation : “Economic Value Added (EVA) – A Comparison with Accounting Profit”

**9. Research guidance :**

Number of researchers awarded M.Phil/ Ph.D degrees : Ph.D. – 6  
M.Phil. – 12

Number of researchers pursuing M.Phil/ Ph.D : Ph.D. – 4  
M.Phil. – 2

## 10. Projects :

**Completed projects :** “Online Banking : A Comprehensive Study of its Growth, Problems and Prospects in India” under UGC – ASIHSS Programme of Department of Commerce, University of Calcutta

**Current projects :** “A Study on Indian Commodity Derivatives Market and Its Interrelationship with the Market Economy” as Minor Research Project under Indian Council of Social Science Research (ICSSR)

## 11. Publications :

*Select list -*

a) **Journals :** 27 journal papers (including 2 in international journals)

### International

- “Weather Risk Assessment of Indian Power Sector: A Conditional Value-at-Risk Approach” in SCOPUS indexed **Energy & Environment**, *October 2018*, SAGE Publishing, USA (jointly with Dr. Mahuya Basu).
- “Active Investment and Investing Actively: An Empirical Study of Indian Stock Market” in the Russian Science Citation Index on Web of Science (WOS) indexed **Vestnik of Saint Petersburg University. Management Series**, *June 2018*, published by Graduate School of Management, Saint Petersburg University, Russia (jointly with Dr. Sharmistha Ghosh).

### National

- “Foreign Portfolio Investment and Price Discovery of Indian Equity Stocks” in Index Copernicus (IC) and Google Scholar indexed **Indian Journal of Research in Capital Markets**, *April - June 2018*, published by Associated Management Consultants (P) Ltd., New Delhi (jointly with Dr. Suddhasanta De).
- “An Analysis of Capital Adequacy in Select Indian Commercial Banks: A Frontier Approach” in EBSCO, Cabell’s Directory and ProQuest indexed **The IUP Journal of Management Research (IJMR)**, *April 2018*, published by IUP Publications – A Division of ICFAI Society (jointly with Dr. Swapna Samanta).
- “Financial Distress in Jute Companies of West Bengal: A Discriminant Analysis” in Index Copernicus (IC) and Google Scholar indexed **Indian Accounting Review**, *December 2017*, published by Indian Accounting Association Research Foundation (IAARF) (jointly with Dr. Ashim Paul).
- “Perceptions of Bankers and Researchers towards Effectiveness of Basel Norms in Banking Risk Management – A Survey” in EBSCO, Cabell’s Directory and ProQuest indexed **The IUP Journal of Financial Risk Management**, *June 2016*, published by IUP Publications – A Division of ICFAI Society (jointly with Ms. Swapna Samanta).

- “Investments in Mutual Funds During Recession and Post Recession Periods in Indian Context – An Optimization” in CiteFactor indexed **Vidyasagar University Journal of Commerce, March 2016**, published by Department of Commerce with Farm Management, Vidyasagar University (jointly with Ms. Sharmistha Ghosh)
- “FII Flows and Volatility Expectations in Indian Equity Markets” in Cabell’s Directory, EBSCO and ProQuest indexed **The IUP Journal of Applied Finance, January 2016**, published by IUP Publications – A Division of ICAFI Society (jointly with Mr. Suddhasanta De).
- “Weather Risk Mitigation Strategies : A Contemporary Review and its Application in India” in Ulrich's Web, J-Gate, CrossRef, i-scholar database indexed **MUDRA – Journal of Finance and Accounting, July – December 2015**, published by Journal Press India, New Delhi (jointly with Ms. Mahuya Basu).
- “Foreign Portfolio Investment and Stock Market Volatility in India” in SCOPUS indexed **Indian Journal of Finance, January 2015**, published by Associated Management Consultants (P) Ltd., New Delhi (jointly with Mr. Suddhasanta De).
- “Accounting for Business Combinations: Contrasting IFRS 3 converged IND AS 103 with AS 14” in Ulrich's Web, J-Gate, CrossRef, i-scholar database indexed **MUDRA – Journal of Finance and Accounting, July – December 2014**, published by Journal Press India, New Delhi.
- “From Cash to Accrual Basis of Accounting in The Directorate of State Lotteries, Government of West Bengal – A Case Study” in **Business Studies, January & July, 2014 & 2015**, published by Department of Commerce, University of Calcutta (jointly with Mr. Gopinath Ghosh).
- “Resilience of Indian Equity versus Derivatives Markets – An Analysis using VaR Approach” in Social Science Research Network (SSRN) indexed **The IUP Journal of Applied Finance, July, 2012**, published by IUP Publications – A Division of ICAFI Society.
- “Drivers of Securitisation in Indian Banking Industry – An Empirical Study” in EBSCO and ProQuest indexed **Paradigm, January-June & July-December, 2011**, published by the Institute of Management Technology (IMT), Ghaziabad (jointly with Ms. Nibedita Roy).
- “The Relationship Between Fair Values in Banks’ Trading Books and Volatility in Share Price Returns in the Indian Context” in Social Science Research Network (SSRN) indexed **The IUP Journal of Accounting Research & Audit Practices, January & April, 2010**, published by IUP Publications – A Division of ICAFI Society.

- “Corporate Governance Disclosures vis-à-vis Shareholders’ Wealth — A Case Study in Indian Context” in **Research Bulletin, August – December 2008**, published by The Institute of Cost and Works Accountants of India (ICWAI) (jointly with Ms. Nibedita Roy).
- “Foreign Institutional Investment Flows and Indian Stock Market Returns — A Cause and Effect Relationship Study” in **Indian Accounting Review, June 2007**, published by Indian Accounting Association Research Foundation (IAARF).
- “Share Repurchases in India, U.S.A. and U.K. – A Comparative Study” in **Business Studies, Silver Jubilee Volume January & July 2002-2004**, published by Department of Commerce, University of Calcutta.
- “An Accounting Insight into Treasury Operations of Buy-Back of Shares” in **Indian Journal of Accounting, December 2002**, published by Indian Accounting Association (IAA).
- “Is Economic Value Added (EVA) Superior to Accounting Profit? – An Empirical Study” in **Research Bulletin, July 2001- January 2002**, published by The Institute of Cost and Works Accountants of India (ICWAI).
- “Economic Value Added (EVA) – A Conceptual Study” in **Business Studies, January & July 2000**, published by Department of Commerce, University of Calcutta.

b) **Books/ book chapters** : 1 book and 9 chapters in edited books (including 3 by international publishers)

#### **Book**

- **Buyback of Shares in India, 2008**, published by New Century Publications, New Delhi.

#### **Chapters In Edited Research Volumes / Books**

##### **International**

- “Asset Liability Management in Commercial Banks in India”, chapter in *edited Book* on ‘**Advances in Finance & Applied Economics**’, **September 2018**, Springer Publications (jointly with Ms. Khusboo Thakker).
- “Determinants of Islamic Banks’ Financial Stability : A Cross Country Empirical Analysis”, chapter in *edited Book* on ‘**Strategic Framework of Infrastructure Financing**’, **2012**, Bloomsbury Publishing India Private Limited.
- “Transition from AS 14 to IFRS 3 – The Roadmap to Accounting for Business Combinations”, chapter in *edited Book* on ‘**Studies in Accounting and Finance – Contemporary Issues and Debates**’, **February 2011**, Pearson Education.

## National

- “Investment in Money Market Instruments and Precious Metals in Different Economic Phases in Indian Context”, chapter in *edited Book* on ‘**Redefining Business Vision: Issues & Challenges, Vol. 1, Accounting and Finance**’, *April 2017*, Regal Publications, New Delhi (jointly with Ms. Sharmistha Ghosh).
  - “Monetisation of Government’s Stake in Public Sector Undertakings: A Critical Assessment in Indian Context”, chapter in *edited Book* on ‘**Disinvestment and Privatisation: Impact on the Indian Economy**’, *December 2016*, Rohini Nandan, Kolkata.
  - “Enterprise Risk Management (ERM): A Conceptual Overview”, chapter in *edited Book* on ‘**Dynamics of Finance and Accounting – Modern Concepts and Practices**’, *August 2016*, Dominant Publishers & Distributers Pvt. Ltd., New Delhi.
  - “Ethical Investing – The Gateway to a Sustainable Society”, chapter in *edited Research Volume* on ‘**Corporate Social Responsibility**’, *January 2008*, published under UGC DSA in Commerce, University of Calcutta.
  - “Treasury Management – The Emerging Perspectives in a Globalized and Digitized Economy”, chapter in *edited Research Volume* on ‘**International Finance and Accounting**’, *August 2006*, published under UGC DSA in Commerce, University of Calcutta.
  - “From Brick and Mortar to Virtual Mode – The Changing Face of Banking Industry”, chapter in *edited Research Volume* on ‘**e-Business: The New Business Paradigm in a Knowledge Economy**’, *April 2006*, published under UGC ASIHSS Programme, Department of Commerce, University of Calcutta.
- c) **Conference/ seminar volumes :**
- “The 2013 Crisis of Indian Rupee (INR) and its Impact on Indian Economy” as full paper in **Proceedings of the Seminar** organized by The Institute of Cost Accountants of India (ICAI), Asansol Chapter at Bharati Bhawan, Asansol, *December 2013*.
- d) **Other publications :**
- “Basics of Investment – A Discussion” in **Vichakshan** (magazine), *September 2015*.
  - “Buyback of Shares – Examination of Various Methods” in **Student Company Secretary** (ICSI), Vol. XXII, *December 2005*.

## 12. Membership of Learned Societies :

- Indian Accounting Association Research Foundation (IAARF)

### 13. Paper Presentations and Chairperson of Sessions:

- 21 paper presentations (including 12 in international conferences / seminars)
- 3 as chairperson in seminars / conferences (including 1 in international conference)

### 14. Invited lectures delivered : 30

*Select list -*

- “Reforms in Indian Financial Sector - An Introspection” as an *Invited Keynote Speaker* for Technical Session II of *International Conference* on ‘Financial Sector: Issues, Challenges and Strategies’ organized by Research & Publication Cell, P.G. Department of Commerce, The Bhawanipur Education Society College, Kolkata on 13<sup>th</sup> March, 2018.
- “Changes in Indian Corporate Governance Landscape: Revisiting Companies Act 2013 and Revised Clause 49” as an *Invited Speaker* in UGC Sponsored Two Day *National Seminar* on ‘Emerging Issues in Accounting and Finance’ organized by Department of Commerce, Azad Hind Fouz Smriti Mahavidyalaya, Domjur, Howrah in collaboration with Sovarani Memorial College, Jagatballavpur, Howrah on 11<sup>th</sup> November, 2016.
- “Regulation of Indian Capital Market and Its Impact on Reforms: A Review” as an *Invited Keynote Presenter* in One Day *National Seminar* on ‘Capital Market Reforms and Regulations in India: Issues and Challenges’ organized by Jogesh Chandra Chaudhuri Law College jointly with University of Calcutta – Calcutta Stock Exchange – Centre for Excellence in Financial Markets (CUCSE-CEFM), Department of Commerce, University of Calcutta on 7<sup>th</sup> October, 2015.
- *Invited Panelist* in Round Table Discussion on ‘Financial Inclusion: Are we still far ahead!’ organized by University of Calcutta – Calcutta Stock Exchange – Centre for Excellence in Financial Markets (CUCSE-CEFM), University of Calcutta jointly with The Institute of Cost Accountants of India (ICAI) on 28<sup>th</sup> September, 2015.
- “Corporate Social Responsibility (CSR) – From Concept to Practice (with special reference to India)” in an *Invited Presentation* at a *Seminar* on ‘Corporate Social Responsibility’ organized by Indian Accounting Association Research Foundation (IAARF) jointly with International Management Institute (IMI), Kolkata on 25<sup>th</sup> July, 2015.
- “E-Banking in India: Prospects and Challenges” in an *Invited Presentation* at the *National Seminar* on ‘E-Banking and Financial Inclusion: Trends, Challenges and Policies’ organized by Department of Commerce, Rabin Mukherjee College (Formerly Behala College of Commerce) in collaboration with The Institute of Cost Accountants of India on 19<sup>th</sup> July, 2014.
- “Investment Dynamics in Indian Financial Markets – An Exploration” in an *Invited Presentation* at the One-Day *Seminar* on ‘Investors’ Awareness’ organized by Centre for Studies in Financial Markets, Department of Commerce, Barrackpore Rashtraguru Surendranath College, Barrackpore in collaboration with Department of Commerce & Management, West Bengal State University, Barasat on 6<sup>th</sup> March, 2014.

- “Key Areas of Change in the New Companies Act 2013 – An Incisive Analysis” in an *Invited Presentation* at the *Departmental Seminar* on ‘The Companies Act, 2013’ organized by Department of Commerce, Barrackpore Rastraguru Surendranath College (BRSNC), Barrackpore on 27<sup>th</sup> September, 2013.
- “Towards Better Governance of Indian Corporates through New Companies Bill 2012: An Analysis” & “Islamic Banking Model of Financing MSMEs – An Exploration” in *Invited Lecture Classes* for *Refresher Course in Commerce* conducted by Department of Commerce and UGC Academic Staff College, University of North Bengal on 19<sup>th</sup> and 20<sup>th</sup> August, 2013.
- “Financial Services: A Journey from Pre-liberalized Era to 21<sup>st</sup> Century (with special reference to India)” as an *Invited Keynote Speaker* for Technical Session I on ‘Financial Services’ at *State Level Seminar* on ‘New Dimension in Financial Services & Corporate Financial Reporting’ organized by Umeschandra College, Kolkata on 29<sup>th</sup> March, 2011.

A handwritten signature in blue ink, reading "Tanuja Halakraborty", enclosed within a thin blue rectangular border.

*Signature of the faculty member*

*Date: 16<sup>th</sup> May, 2019*